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| FORM PTO-1390<br>(REV 10-94)   |  | U S DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE |  | DOCKET #: 3397-102PUS  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING<br/>UNDER 35 U.S.C. 371</b>  |  |  |  |  |
|  |  |  |  | U.S. APPLICATION NO.<br>(if known, see 37 CFR 1.5)<br><b>09/914657</b> |
| INTERNATIONAL APPLICATION NO.<br><b>PCT/FI00/00166</b>   |  | INTERNATIONAL FILING DATE<br><b>March 02, 2000</b>     |  | PRIORITY DATE CLAIMED<br><b>March 04, 1999</b>                         |
| TITLE OF INVENTION<br><b>Method for Controlling the Moisture of a Web in Machine Direction on a Coating Machine</b>  |  |  |  |  |
| APPLICANT(S) FOR DO/EO/US<br><b>Tapio MÄENPÄÄ; Eero SUOMI; Vilho NISSINEN</b>  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |  |  |  |  |
| 1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.<br>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371<br>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).<br>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.<br>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))<br>a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).<br>b. <input type="checkbox"/> has been transmitted by the International Bureau.<br>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)<br>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).<br>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))<br>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).<br>b. <input type="checkbox"/> have been transmitted by the International Bureau.<br>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<br>d. <input checked="" type="checkbox"/> have not been made and will not be made.<br>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).<br>9. <input checked="" type="checkbox"/> An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).<br>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).<br><b>Items 11. to 16. Below concern other document(s) or information included:</b><br>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<br>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.<br>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.<br><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.<br>14. <input type="checkbox"/> A substitute specification.<br>15. <input type="checkbox"/> A change of power of attorney and/or address letter.<br>16. <input checked="" type="checkbox"/> Other items or information ( <i>specify</i> ): PCT Publication Sheet, Int'l Preliminary Examination Report, Int'l Search Report, PCT Request, PCT Demand, Notification of the Recording of a Change |  |  |  |  |

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/914657

INTERNATIONAL APPLICATION NO  
PCT/FI00/00166ATTORNEY'S DOCKET NUMBER  
3397-102PUS

17.[x] The following fees are submitted:

**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Search Report has been prepared by the EPO or JPO ..... \$860.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.482)..... \$690.00  
 No international preliminary examination fee paid to USPTO (37 CFR 1.482)  
 but international search fee paid to USPTO (37 CFR 1.445(a)(2)) ..... \$710.00  
 Neither international preliminary examination fee (37 CFR 1.482)  
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$1000.00  
 International preliminary examination fee paid to USPTO (37 CFR 1.482)  
 and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months  
 from the earliest claimed priority date (37 CFR 1.492(e)).

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| Claims                                      | Number Filed | Number Extra | Rate       |   |
|---|--------------|--------------|------------|---|
| Total Claims                                | 13 - 20 = 0  |              | x \$18.00  | S |
| Independent Claims                          | 1 - 3 = 0    |              | x \$80.00  | S |
| Multiple dependent claim(s) (if applicable) |              |              | + \$270.00 | S |

TOTAL OF ABOVE CALCULATIONS = \$ 860.00

Reduction of 1/2 for filing by small entity, if applicable.

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SUBTOTAL = \$ 860.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
 months from the earliest claimed priority date (37 CFR 1.492(f)).

S

TOTAL NATIONAL FEE = \$ 860.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
 accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

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TOTAL FEES ENCLOSED \$860.00

Amount to be refunded: S

charged: S

- a. [x] One check(s) in the amount(s) of \$ 860.00 to cover the above fees is/are enclosed.  
 b. ☐ Please charge my Deposit Account No. 03-2412 in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.  
 c. [x] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2412. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

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By Express Mail # EL913695915US · August 31, 2001

Attorney Docket # 3397-102PUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Tapio MÄENPÄÄ et al.

International Appln. No.: PCT/FI00/00166

International Filing Date: March 02, 2000

For: Method for Controlling the Moisture of a Web in  
Machine Direction on a Coating Machine

**PRELIMINARY AMENDMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
**BOX PCT**

S I R:

Prior to examination of the above-identified application please amend the  
application as follows:

**In the Specification:**

Page 1, before line 5, insert the following heading:

**--FIELD OF THE INVENTION--**.

Page 1, before line 13, insert the following heading:

**--BACKGROUND OF THE INVENTION--**.

Page 4, before line 20, insert the following heading:

**--SUMMARY OF THE INVENTION--**.

Page 5, delete the paragraph starting on line 8.

Page 6, after line 25, insert the following paragraph and heading:

-- Other objects and features of the present invention will become apparent from the following detailed description considered in conjunction with the accompanying drawings. It is to be understood, however, that the drawings are designed solely for purposes of illustration and not as a definition of the limits of the invention, for which reference should be made to the appended claims. It should be further understood that the drawings are not necessarily drawn to scale and that, unless otherwise indicated, they are merely intended to conceptually illustrate the structures and procedures described herein.

**BRIEF DESCRIPTION OF THE DRAWINGS--**.

Page 7, before line 14, insert the following heading:

**-- DETAILED DESCRIPTION OF THE PRESENTLY PREFERRED EMBODIMENTS --**.

Page 20, after line 29, insert the following paragraph:

--Thus, while there have shown and described and pointed out fundamental novel features of the invention as applied to a preferred embodiment thereof, it will be understood that various omissions and substitutions and changes in the form and details of the

devices illustrated, and in their operation, may be made by those skilled in the art without departing from the spirit of the invention. For example, it is expressly intended that all combinations of those elements which perform substantially the same function in substantially the same way to achieve the same results are within the scope of the invention. Moreover, it should be recognized that structures and/or elements shown and/or described in connection with any disclosed form or embodiment of the invention may be incorporated in any other disclosed or described or suggested form or embodiment as a general matter of design choice. It is the intention, therefore, to be limited only as indicated by the scope of the claims appended hereto.--

In the Claims:

Claim 7 has been amended as follows:

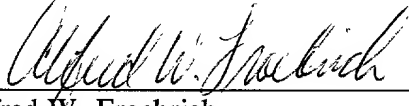
7. (Amended) Method according to claim 5, characterized in that effects of said dryers are controlled on the basis of model computation and measurement results.

**REMARKS**

This preliminary amendment is presented to place the application in proper form for examination and to eliminate multiple dependency from the present claims. No new matter has been added. Early examination and favorable consideration of the above-identified application is earnestly solicited.

Any additional fees or charges required at this time in connection with the application may be charged to our Patent and Trademark Office Deposit Account No. 03-2412.

Respectfully submitted,  
COHEN, PONTANI, LIEBERMAN & PAVANE

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31 August 2001

**VERSION WITH MARKINGS TO SHOW CHANGES IN CLAIMS**

**In the Claims:**

Claim 7 has been amended as follows:

7. (Amended) Method according to [claims 5 and 6] claim 5, characterized in that effects of said dryers are controlled on the basis of model computation and measurement results.

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Method for controlling the moisture of a web in machine  
direction on a coating machine.

5 The present invention relates to a method according to  
the preamble of claim 1 based on a novel control and  
steering strategy for use in the drying process of a  
paper web or similar coated web material such as board in  
coater sections in which the web to be coated is passed  
10 via a coater station including at least one applicator  
apparatus and dryers.

In the coating of a web of paper or board, the surface of  
the web is first coated with a furnish containing coating  
15 pigments slurried in water. After the application and  
smoothing of the coating mix, the coating applied to the  
web surface as well as the underlying base web must be  
dried to a sufficiently low moisture for final use or  
further processing. Hence, a major portion of the energy  
20 consumed in the production of coated paper grades is lost  
in drying the web during the different steps of  
postprocessing, which means that energy management in  
drying is an extremely vital factor contributing to the  
profitability of production. Correct drying technique  
25 also affects the quality of the produced paper grade.  
Another parameter highly pertinent to the quality of  
produced paper is the control of the machine-direction  
moisture profile, that is, the moisture of the base  
paper, which must be kept at a constant level during the  
30 run. The web moisture content affects particularly the  
paper web behavior in calendering and printing. As modern  
production lines are equipped with on-line calendering,

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wherein the coated web is passed directly to a calender, the moisture profile of the running web has an insufficient time to reach a uniform equilibrium state prior to calendaring, a situation which is in contrast to that  
5 attainable in the traditional off-line calendaring, wherein the coated web was stored in a machine reel prior to subsequent calendaring. Correspondingly, the transport chain of paper from the mill to printing houses and other users has been speeded up, whereby the moisture even in  
10 uncalendered paper does not necessarily have enough time to stabilize and reach a sufficiently low level prior to printing. In coating, the web moisture content affects the penetration of water into the base web during the application of the coating mix and, resultingly, the  
15 change of coating solids content after coating. As variations in the solids content of the coating are reflected in plural parameters in the application process, it is important to keep the web moisture during application and drying accurately within proper limit values in order to  
20 attain a uniform and desired final quality of the product.

Conventionally, a coated web is dried immediately after the application of coating using noncontacting dryers,  
25 which step may be followed when necessary by cylinder dryers and other dryers of the contacting type. The moisture content of the running web is measured at multiple points along the web travel in the coater apparatus and, on the basis of the measurement data, the drying effect  
30 of each dryer is individually adjusted so as to attain a proper web moisture over the cross-machine width at the respective measurement point as well as an average

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moisture content that stays between given limits during a run, the latter requirement meaning that the machine-direction moisture profile is controlled to a given set value. The overall drying capacity is adjusted to a  
5 suitable basic level based on test runs and data accumulated from a long-term experience in the art, and the individual dryer effects are then fine-tuned during the run on the basis of measurement data either automatically or manually. Conventionally, one of the dryers or one  
10 dryer group is selected to perform as the controller of the final moisture level, whereby the heating power input to the selected dryer group(s) is adjusted by means of a feedback signal obtained from the measurement system. In this arrangement, the other dryers are driven under  
15 manual control. Such a control scheme responds very tardy and compensation for the slow response of dryer control is difficult to implement in situations requiring a fast change of dryer effect levels. Furthermore, the web temperature prior to the coater apparatus must be kept  
20 sufficiently low to avoid floccing of the coating mix being applied. Hence, proper control of the drying effect is important particularly in the final stage of the dryer section prior to the subsequent coating step. The web temperature also affects the final quality of the coated  
25 web.

Particularly in situations of changing running conditions or when starting up the machine, known in the art as the run-up, the elevation of the dryer drying effect levels  
30 to correct values and adjustment of the same to proper run-time levels requires excellent skills from the personnel operating the machine. However, carrying out

the procedure of setting the dryer evaporation effect levels in the coater section to correct values under run-up or changing process conditions takes time, during which the produced paper or board falls short of the specified quality requirements thus necessitating dumping of the web into the pulper. Hence, it is advantageous to minimize the durations of run-up and process value change times in order to achieve improved production efficiency at the machine. The above control scheme is also extremely clumsy in the optimization of drying energy consumption inasmuch it relies on the control of each dryer unit separately, whereby the mutual evaporation effect ratios between the dryer units are difficult to alter in an uncomplicated manner. Furthermore, a failure in one or a greater number of the dryer units is difficult to compensate for, because the process is designed for operation with all the dryer units being functional.

It is an object of the present invention to provide a method suited for controlling the machine direction moisture profile of a web to be coated in a manner optimized to respond to any moisture changes throughout the entire coating/drying process. In practice this approach means the application of a comprehensive control scheme covering all the dryer units of a coater section in an integrated manner in regard to energy consumption and product quality in order to attain an optimal end result.

The goal of the invention is achieved by way of forming a mathematical submodel of specific moisture evaporation rate for each process section and device contributing to

the web drying process and then chaining the thus obtained individual submodels so as to form a composite model of the overall process, the model being suited for managing the drying phenomena during the entire process so that each individual unit of the equipment layout is controlled as a part of the overall process.

More specifically, the method according to the invention is characterized by what is stated in the characterizing part of claim 1.

The invention offers significant benefits.

By virtue of the model according to the invention, it is possible to directly compute the moisture content of the web at the outgoing side or each dryer, provided that the specific evaporation rate at the dryer and the web moisture at the ingoing side are known. After the chaining of the individual submodels, the web moisture content can be computed at different points along the coater section, the most important parameter value obviously being the final moisture content of the web. With the help of the model, the dryer effects may be adjusted according to the individual properties so that the characteristics of different types of dryers are optimally taken into account. Since infrared dryers feature a quick response, they may be used, e.g., during run-up for controlling the overall effect of the dryer group, thus allowing the evaporation effect levels of other dryers to be elevated in a more relaxed manner to their steady-state values during the normal run by way of compensating for the delay of dryer warm-up with the help

of delay terms adapted into the model. The use of delay terms makes it possible to manage actual process response delays, too.

5 Since the invention provides a control scheme for the overall process, it allows the evaporation effects of the dryer units to be divided therebetween in a desired manner and, particularly in the case of failure in one  
10 for by the other dryer units thus permitting operation of the coater section uninterrupted by a servicing shutdown. Equally, as the initial moisture content of the web as well as the amount of moisture added thereto by the applied coating are known, the model gives tools for  
15 computing an estimate for the web moisture at different points along the process and, particularly, prior to upwinding. In fact, the model allows the web final moisture content to be computed so accurately that production may be continued controlled by the model even when the  
20 moisture measurement devices are down.

The overall performance offered by the invention gives a faster and more accurate control result than that available by way of manual control combined with feedback loops  
25 controlling the individual drying units.

In the following, the invention will be examined in greater detail by making reference to the appended drawings in which  
30

FIG. 1 shows a coater section or a portion thereof comprising one coater station and dryers;

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FIG. 2 shows a coater section or a portion thereof comprising two coater stations, each equipped with separate dryers;

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FIG. 3 shows a schematic plot of changing web speed in the coater section;

FIG. 4 shows a schematic plot of the control of the dryer effect at a change in the web speed; and

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FIG. 5 shows block diagram of the present control method.

Referring to FIG. 1, the diagram shown therein schematically illustrates a layout comprising one coater station 1 with dryers 2 - 6 connected thereto, whereby the functions related to the drying effect control of the dryers 2 - 6 are illustrated as a block diagram. In the downstream travel direction of a web 8, the first unit is a coater station 1 serving to apply coating or other web treatment substance such as surface size to at least one side of the web. As the type of coater station used is irrelevant to the implementation of the invention, the coater may be any suitable applicator apparatus such as a short-dwell coater, film-transfer coater, blade coater or spray coater. The coater station may be used for applying the coating to one side of the web 8 only as is customary, or to both sides, as required. Obviously, the construction of dryers 2 - 6 is dependent on whether two-sided or one-sided coating is performed in a single coater station, but nevertheless the function of any one of the dryers may be modeled in the same fashion in

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accordance with the invention.

Next downstream to the coater station are located first an infrared radiant dryer 2, then three air-impingement  
5 dryers 3 - 5 and finally a dryer cylinder group 6 comprising a plurality of dryer cylinders 7. On the dryer cylinder group 6, the web 8 is dried to a final moisture content and next the web 8 is passed via a moisture content gage 9 to an upwinder 10.

10

The process is controlled by means of a computer. The actual implementation of the computer may comprise a module running under the software of the coater section control computer, or a separately allocated computer or  
15 microprocessor serving the moisture control task alone or a physically distributed software and database package. The control system contains an evaporation rate submodel for each one of the dryers and a composite evaporation rate model compiled from these submodels. Additionally,  
20 the data base 11 of the control system serves to store the process status data, that is, the real-time status of both the coater section and the model obtained by way of measurement or directly from the computational data submitted by control system of the coater section. The  
25 status data includes such parameter values as the coater section status comprising the applied coat weight, solids content thereof and other similar factors, dryer evaporation effect levels, the final moisture content after the dryer units and the web speed as measured at  
30 the upwinder 10.

In FIG. 2 is shown a system comprising two coater

stations, each equipped with separate dryers. While the exemplifying embodiment is described below for the two last coater stations of a system, a complete layout may include a plurality of such subsystems formed by a coater station with dryers. Then, each subsystem may be described by means of an individual evaporation rate sub-model, or more advantageously, a single model is compiled for the entire coater section, thus achieving a simpler control scheme for managing the overall process. In certain cases, particularly the web moisture prior to its entry into a successive coating step may exceed the final moisture content of the web, which means that the average moisture content of the web when passing through the coater section is higher than when the web reaches the upwinder. This kind of situation requires more drying effect after the last coater station than on the preceding stations, which is easy to arrange by virtue of the method according to the invention so that the computed or measured web moisture content value from the output of a next successive subsystem is fed back to the evaporation effect computation of the preceding subsystem. Conventionally, the moisture of web passed from the paper-making machine to the coater is in the range of about 1.5 - 4 %, while the moisture of a treated web in the order of 4 - 6 %. The moisture content values of the web may vary widely during the different phases of web treatment, and also the final and initial moisture contents of the web may vary according to the grade being manufactured. If desired, the initial moisture content of the web may be computed on the basis of the amount of evaporation during a run, this value being obtained from the model, and the final moisture content of the web, this



value being measured prior to the upwinder.

Accordingly, the method according to the invention aims to provide an accurate overall control of the machine direction moisture profile of the web along its entire downstream travel through the coater section in all production situations and, particularly, during the dynamic transition phase toward the steady-state production run condition, that is, during machine run-up and when changes occur in the machine speed or the coating process parameters. The present method is capable of controlling a plurality of coater section dryers simultaneously so that the target value of web moisture is attained optimally. In the novel approach according to the invention, each drying unit is formulated with the help of a mathematical submodel describing the specific evaporation behavior of the unit, whereupon the submodel is utilized in the comprehensive control strategy for computing the unit-specific set values. The thus formulated specific evaporation rate models are used in a chained manner for modeling the overall process, complemented with certain measurement results obtained from the process. The parameters of the mathematical modeling equations may be updated on either per unit or per operating point basis using either off-line or on-line techniques. The thus obtained computational model can be brought to match exactly with the operation of the coater section for different kinds of manufactured product grades and varying process conditions.

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The method can be applied to both so-called off-machine and on-machine coater sections, and it is capable of

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performing dryer control functions under a normal steady-state production run situation as well as during dynamic transition phases toward a normal production run state.

In the context of the present invention, a normal steady-

5 state production run situation is understood to refer to a condition in which no changes occur in the machine speed or, if changes do occur, they are of a type that will not be reflect in the product quality. Such change and transition situation(s) is/are represented by changes  
10 in machine speed and start-up of section operation. The measurements values of the process quality monitoring system and other values such as the web moisture, basis weight, coat weight, coat solids content and web temperature sensor signals obtained from the coater  
15 section control system serve as the input signals of the method. The measurement sensors of the process quality monitoring system may be located either after the last dryer unit in each coater station and preceding the upwinder, whereby the measurement system represents a  
20 comprehensive implementation or a portion of the so-called intermediate points of moisture measurement can be omitted, whereby the method may use the web moisture estimates which are computed from the evaporation model and bear an accurate relationship with the actual situa-  
25 tion along the web travel, particularly when the parameters of the modeling equation are updated in real time.

Based on the mathematical models, the present method computes the specific evaporation rate, e.g., as  $\text{kgH}_2\text{O}/\text{m}^2/\text{h}$   
30 for each dryer or process unit contributing to the drying process. The computations take into account the coater stations, infrared radiant dryers, air-impingement

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dryers, cylinder dryers and other dryers associated with the coater section, as well as the open draws between the dryer units. Open draws form an important part of the modeling task and must be included in the composite  
5 model, because moisture evaporation also takes place on these portions of the web travel from the hot web exiting the dryers.

On a coater station, the coating applied to the surface  
10 of the web carries along a certain amount of excess water that must be removed on the dryers. When the initial moisture content of the web, as well as the amount of applied coating and the moisture content of the coating are known, it is possible on the basis of the web speed  
15 to compute the required overall evaporation effect and to divide it between the different dryers. The goal is to control the so-called intermediate moisture of the web after each coater station, as well the final moisture of the finished product to desired target values by means of  
20 steering the coater section dryers as an integrated system. The specific evaporation computation utilizes measurement data gathered on web moisture, temperature, speed and on the ambient air humidity. With the help of the specific evaporation models, it is possible to com-  
25 pute an estimate for the moisture of the web leaving any dryer. Similarly, it is possible to compute the change in web temperature within each process unit and the exit temperature of the web at the outgoing side of each unit. A chained composite model for the entire system is  
30 obtained by combining the mathematical submodel equations that describe the behavior of the dryers and the open draws. Herein, the values of the web moisture and temper-

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ature computed for the outgoing side of a preceding dryer are used as the input values for the next dryer, that is, representing the moisture and temperature values of the entering web.

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According to the method, the web intermediate moisture after each coater station and the final moisture content of the finished product at the upwinder are controlled by means of specific evaporation submodels developed for the  
10 dryers of the coater section. With the help of these submodels it is possible to compute such set values of adjustment and control variables for each modeled unit that bring about the desired values of web intermediate and final moisture contents. The same approach also is  
15 used to manage a machine speed change situation. The control actions are carried out with the help of both closed-loop feedback circuits and feedforward circuits. Moisture measurement signals obtained from the process quality monitoring system are taken to the feedback  
20 circuit that adjusts the set values of one or more dryer units in the coater section. The feedforward circuit, which is employed to manage the dynamic transition states of machine speed change, uses set value estimates which are computed from the mathematical submodels of the  
25 specific evaporation rates for the final condition of the machine speed change state. This description, however, omits the details of the actual modeling techniques used inasmuch those skilled in the art have no difficulty in finding the needed mathematical tools in the literature.

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The first step in the method according to the invention is to compute the specific evaporation rates for the dif-

ferent units of the production line. The specific evaporation rates as  $\text{kgH}_2\text{O}/\text{m}^2/\text{h}$  are computed for the separate dryers of the coater section using the computational facilities of the automation system of the production line or of a separate computing unit intimately communicating therewith. The mathematical submodels of the coater section dryers are developed separately for the coater stations, infrared radiant dryers, air-impingement dryers and cylinder dryers and other dryers possibly cooperating with the coater section, and for the open draws. The mathematical submodels take into account the contribution of the characteristic control parameters of each unit and the effect of process variables on the overall specific evaporation rate. Such contributing variables include the web speed, the web initial moisture and temperature, the web basis weight, the coat weight, the solids content and composition of applied coating, air humidity, the lineal effect ( $\text{kW}/\text{m}$ ) of the infrared radiant dryer, the temperature and flow rate of impinging air blown in the air-impingement dryer, and the steam pressure and flow rate in cylinder dryers. As an outcome of the computation, the submodels give the specific evaporation rate for each dryer, the web moisture at the outgoing side of the dryer and the web temperature at a given point of interest when properly selected control variables are used in the equations.

With the help of data obtained from the process quality monitoring system, the characteristic parameters of the evaporation rate submodels may be corrected, e.g., as per paper grade and system operating status. In this fashion, the composite model can be tuned to accurately match the

actual operating status and the behavior of the coater section to be controlled. To this end, the estimate obtained from the model for the web moisture at a given point of the web travel, e.g., prior to upwinding, is compared with the actual moisture data obtained from the web measurement sensors. On the basis of this comparison, an error term is computed for the model that is then used in the correction computation for the model parameters. The correction computation may be carried out as either an off-line task within the automation system of the production line or other computing system connected thereto or alternatively, directly as an on-line task in the automation system, using appropriate computing routines such as the least squares method, for instance, or equivalent recursive algorithms. For this purpose, the dryers are controlled according to a specific strategy so that all the dryers are set to a constant evaporation effect state, with the exception of the one for which the equation parameters of the submodel are to be analyzed. During the parameter value update operation, the control signals of the dryer being analyzed are appropriately varied in accordance with the parameter identification technique used, e.g., by way of imposing stepwise changes in the set value or superimposing a PRBS (pseudo-random binary signal) on the set value output signals in order to cause a sufficient amount of changes in the system being analyzed so that the computational algorithm of the parameter identification technique will converge. The thus obtained parameter values of the modeling equations as per paper grade and process operating point can be stored in a separate database or in the grade-specific production control files of the process automation

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system.

According to the invention, moisture control along the downstream travel of the web takes place as follows. In the method described herein, a model-based web moisture controller computes from the actual measurement signal of the web moisture and the target value of the web moisture a control signal, whereby the computational process utilizes a composite model compiled from the mathematical submodels of the individual dryers. The computation takes into account the specific evaporation rates of the dryers and the prevailing manufacturing process conditions. With the help of the submodels, such set values of adjustment and control variables are computed for each dryer separately that are required to attain the desired intermediate and final values of web moisture. During dynamic changes of machine speed, the control algorithm computes the need for effect change in the dryers according to the change in web speed.

In a normal steady-state production run situation involving no change in web speed, a feedback-type control scheme is used, whereby the model input signals formed by the web moisture set value and the actual web moisture measurement information are processed into a feedback signal of moisture error, on the basis of which signal the control algorithm then performs required changes to an extent defined by the system operator in the drying effects of dryers selected to be controlled by the control computer. While all the dryers may be set to be controlled by a computer or, respectively, set for manual control, in the spirit of the invention the drying effect

of at least one dryer must be steerable by means of a model running on a computer. Herein, as shown in FIG. 2, either the intermediate point moisture sensor 12 or the process quality monitoring system sensor 9 preceding the upwinder 10 give the actual web moisture content value that is compared by the control program with the set value. On the basis of the difference between the set value and actually measured web moisture, the system computes the respective change of the overall moisture ( $\Delta H_2O$ ) that should be accomplished by means of the dryers selected to be steered by the control computer. If the moisture difference signal has a positive sign, the specific evaporation rate must be increased. Respectively, a negative sign indicates a need for reduced specific evaporation rate. The overall value of required moisture change ( $\Delta H_2O$ ) is divided between the dryers ( $i = 1 \dots N$ ) selected to be steered under computer control using such proportional percentage weight factors (0 - 100 %) that the sum of the weighting factors always is 100 %. Obviously, other weighting strategies are also possible in the division of moisture change, that is, to implement the required change in the distribution of the drying effect between the dryers. For instance, the weighting factors may be selected to be proportional to the available evaporation rate capacities on the modeled dryers or to the desired moisture values at the intermediate points. In this kind of proportional division, each of the selected dryers is allocated to handle so much of the overall moisture difference control task as is indicated by its weighting factor. The specific evaporation rate models are then used for computing the required changes in the set values of control signals



given to each one of the selected dryers. After computation, the new set values are transmitted to the unit controllers that implement the changes in the set values.

5 In FIG. 3 is shown a situation involving a change in the machine speed. In this case, the control scheme relies on a feedforward circuit. To perform a change in the machine speed from point A of the diagram to point C, the procedure goes as follows. The new set values required at  
10 point C for the dryers of the coater section are computed at point A using the submodel equations so that the correction to be made in the set values due to the machine speed change are taken into account. The new set values can be transmitted to the unit controllers either immediately at the start of the machine speed change (point A)  
15 or incrementally over the entire duration of the machine speed change phase as shown in the diagram of FIG. 4. The choice of either control strategy is dictated by the amount of machine speed change ( $\Delta L$ ), duration of the change ( $\Delta T$ ) and the dynamic behavior of the selected  
20 dryer. During machine run-up, the control strategy can be, e.g., as shown in the right-hand plot of FIG. 3. The new set values required for the unit controllers at either point B' or point C' are computed at point A' with  
25 the help of the modeling equations. If the acceleration of the machine takes place via an intermediate point B', the corresponding set values for the target speed at point C' can be transmitted at either points A', B' or in an incrementally stepwise manner (see FIG. 4). For  
30 controlling such fast-response dryers as infrared radiant dryers, a desired number of incremental point values may be computed on the basis of the set value start and end

points, whereby the incremental values are activated when the machine attains a speed corresponding a given set value. On the other hand, if the slow dynamic response at, e.g., air-impingement dryers and cylinder dryers

5 (characterized by a delay time  $t$ ) is taken into account, the set values corresponding to point C' for the selected units may be transmitted already at point (A' -  $t$ ) or the delayed response may compensated for in the incremental control. The intermediate point B' is most conventionally

10 used, e.g., for shutting down the coater stations. Herein, depending on the time span ( $\Delta T'$ ), it is possible to compute also for point B' the set values of the unit controllers that are then used as the input values in the computation of variable set values for transition toward

15 state C'. The method can also handle situations in which full-width moisture measurement information obtained from the product quality monitoring system or partial-width moisture profile measurements are utilized during machine speed changes or system run-up. In a partial-width

20 moisture profile measurement, the moisture sensor of the product quality monitoring system may be of a so-called fixed type (nontraversing) or the sensor may be arranged to perform a traversing movement, that is, in the cross-machine direction, only for a partial width of the web

25 covering the web by 0.5 - 1.0 m, for instance. In this case the arrival of a new, reliable moisture measurement value always triggers a corrective action performed with the help of the modeling equations or other correction computation on the estimates of set values transmitted to

30 the dryers.

In FIG. 5 is shown the above-described control strategy

in a slightly different diagram. The control scheme illustrated in this block diagram is equivalent to that shown in FIGS. 1 and 2. The left-hand part of the diagram depicts the determination of the moisture difference value. To this end, the first step is to measure the web speed, whereupon it is possible to employ the data on coat thickness, moisture, base web basis weigh and coat solids content in the determination of the web moisture or change of moisture  $\Delta H_2O$  at the ingoing side of a dryer. When the moisture set value is summed with the measured value, or the actual value, of web moisture, the result is the difference  $\Delta M$  between the set value and the actual value, wherefrom is possible to compute the needed drying effect change  $\Delta H_2O$  that must be summed with other possible changes caused by process deviations. On the basis of the thus obtained need for drying effect change, the needed drying effects and new dryer set values are computed with the help of the composite model of the process and the actual values of process conditions and process status. After computation, the new set values are transmitted to the dryers.

The method according to the invention can be applied to all kinds of paper/board coating techniques and equipment in which the surface of a base web is coated with a liquid-based that is dried on at least one dryer. Generally, however, the layout comprises plural dryers and, in fact, the benefits of the invention will be the greater the more complicated the coater section is.

What is claimed is:

1. Method for controlling the drying effect of an equipment layout used in making a coated web of paper or  
5 board, the layout comprising at least one coater station (1) and at least one dryer (2-6), the method comprising the steps of

10 - applying a liquid-containing coating furnish to the surface of the web (8),

15 - drying the web (8) coated with said coating furnish through evaporating said liquid off from the web until the moisture content of the web (8) reaches a desired value,

20 - compiling, for each one of those portions of the coater section in which moisture is evaporated from the web (8), a specific evaporation rate submodel suited to compute the amount of liquid removed within the confines of said portion, and

- determining the needed overall evaporation effect,

25 c h a r a c t e r i z e d i n t h a t

- said specific evaporation rate submodels are chained into a composite evaporation rate model,

30 - the needed overall evaporation effect is divided with the help of the composite model between the dryers of the equipment layout, and

- the model is used in the determination of new control variable set values to be issued to said dryers.

5

2. Method according to claim 1, c h a r a c t e r -  
i z e d in that the evaporation effect of one dryer of  
the equipment layout is controlled with the help of the  
composite evaporation rate model and the effect of the  
10 other dryers is set to a fixed value.

3. Method according to claim 1, c h a r a c t e r -  
i z e d in that the evaporation effect of at least two  
dryers of the equipment layout are controlled with the  
15 help of the composite evaporation rate model.

4. Method according to claim 1, c h a r a c t e r -  
i z e d in that at least the final moisture content of  
the web (8) is measured, the measured moisture value is  
20 compared with the moisture set value and, using the  
composite model, new set values of evaporation rate are  
computed to be issued to said dryers.

5. Method according to claim 4, c h a r a c t e r -  
25 i z e d in that the web moisture is measured in at least  
one point along the web travel preceding said final  
moisture content measurement in order to determine at  
least one intermediate moisture content value and, util-  
izing said measured moisture value, new set values of  
30 evaporation rate are computed to be issued to at least  
those dryers that are located upstream prior to said  
intermediate moisture measurement point.

6. Method according to claim 1, c h a r a c t e r -  
i z e d in that first the initial moisture content of  
the web and the amount of water applied thereto are  
5 determined, the needed overall evaporation is computed  
and, using said composite model, the evaporation rates of  
said dryers are controlled to set values that render the  
end product a desired moisture content.

10 7. Method according to claims 5 and 6, c h a r a c -  
t e r i z e d in that effects of said dryers are con-  
trolled on the basis of model computation and measurement  
results.

15 8. Method according to claim 2 for correcting the model  
parameters of the specific evaporation rate submodels of  
dryers in an equipment layout,

c h a r a c t e r i z e d in that

20

- one dryer of the equipment layout is set to oper-  
ate under the control of the composite evaporation  
rate model,

25

- the other dryers of the equipment layout are set  
to operate at a fixed evaporating effect,

- the control signals of the model-controlled dryer  
are varied,

30

- the web moisture value obtained from the composite  
evaporation rate model is compared with a measured

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web moisture value, and

- corrected values of specific evaporation rate  
model parameters are computed on the basis of the  
5 difference between the measured value and the esti-  
mate value computed with the help of the model for  
the dryer selected to be run in the model-controlled  
mode.

10 9. Method according to claim 8, c h a r a c t e r -  
i z e d in that the control signals of said model-con-  
trolled dryer are changed in a stepwise manner or by  
superimposing a pseudo-random binary signal (PRBS) on the  
set values.

15 10. Method according to claim 1, c h a r a c t e r -  
i z e d in that the output values obtained from the  
specific evaporation rate submodel of a preceding dryer  
of an equipment layout are used as the input values in  
20 the specific evaporation rate submodel of the next  
successive dryer.

25 11. Method according to claim 4, c h a r a c t e r -  
i z e d in that the needed change in the evaporation  
effect is divided between the dryers selected to be con-  
trolled with the help of the composite model propor-  
tionally in ratios determined by preset weighting  
factors.

30 12. Method according to claim 1 for controlling the  
evaporation effect in an equipment layout comprising at  
least one coater station with its associated dryers,

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c h a r a c t e r i z e d in that the composite  
evaporation rate models describing the behavior each  
subsystem comprising a coater station and the associated  
dryers are chained by submitting to the preceding  
5 composite model the web moisture value measured down-  
stream after the next successive subsystem.

13. Method according to claim 1 for controlling the  
evaporation effect in an equipment layout comprising at  
10 least one coater station with its associated dryers,  
c h a r a c t e r i z e d in that the composite  
evaporation rate models of each subsystems comprised of a  
coater station with its associated dryers are chained  
mutually in order to compile a composite evaporation rate  
15 model for the entire equipment layout from said composite  
evaporation rate models of said subsystems.

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[57] **Abstract**

The invention relates to a method by means of which the machine-direction moisture of a web being coated can be controlled in an optimal manner that takes into account moisture content changes along the entire path of the coating and drying process. Advantageously, all the dryers the coater section are controlled in an integrated manner in order to obtain a controlledly processed product which is optimized in regard to energy consumption and product quality. Each process section and unit contributing to the drying of the web is identified by means of a mathematical submodel describing the specific evaporation rate in the respective process section/unit and, by chaining these submodels, a composite model is compiled for the entire process, whereby the composite model makes it possible to manage the drying operation in the process so that the individual units are controlled as a portion of the overall process.

(FIG. 1)

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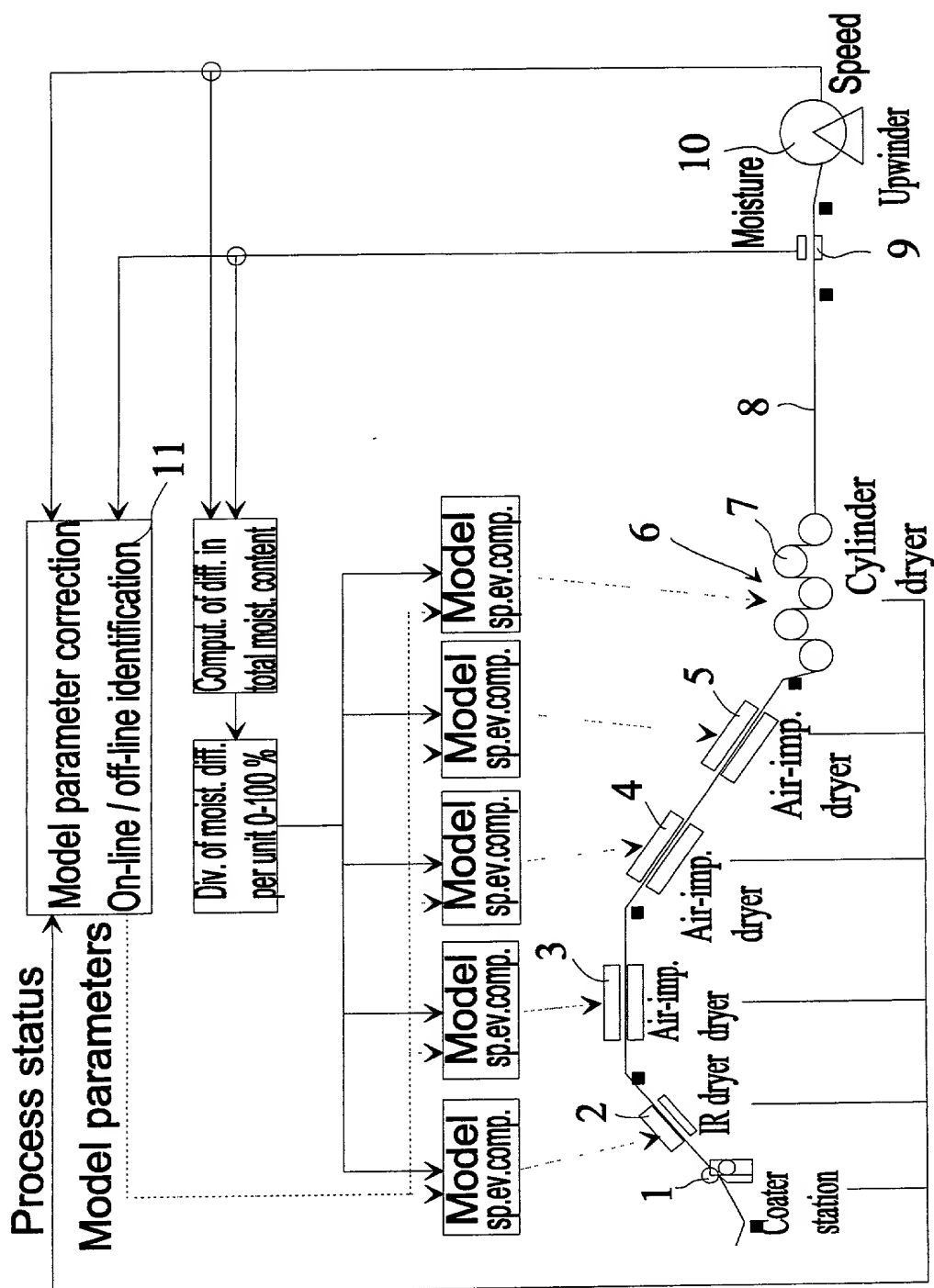


Fig. 1

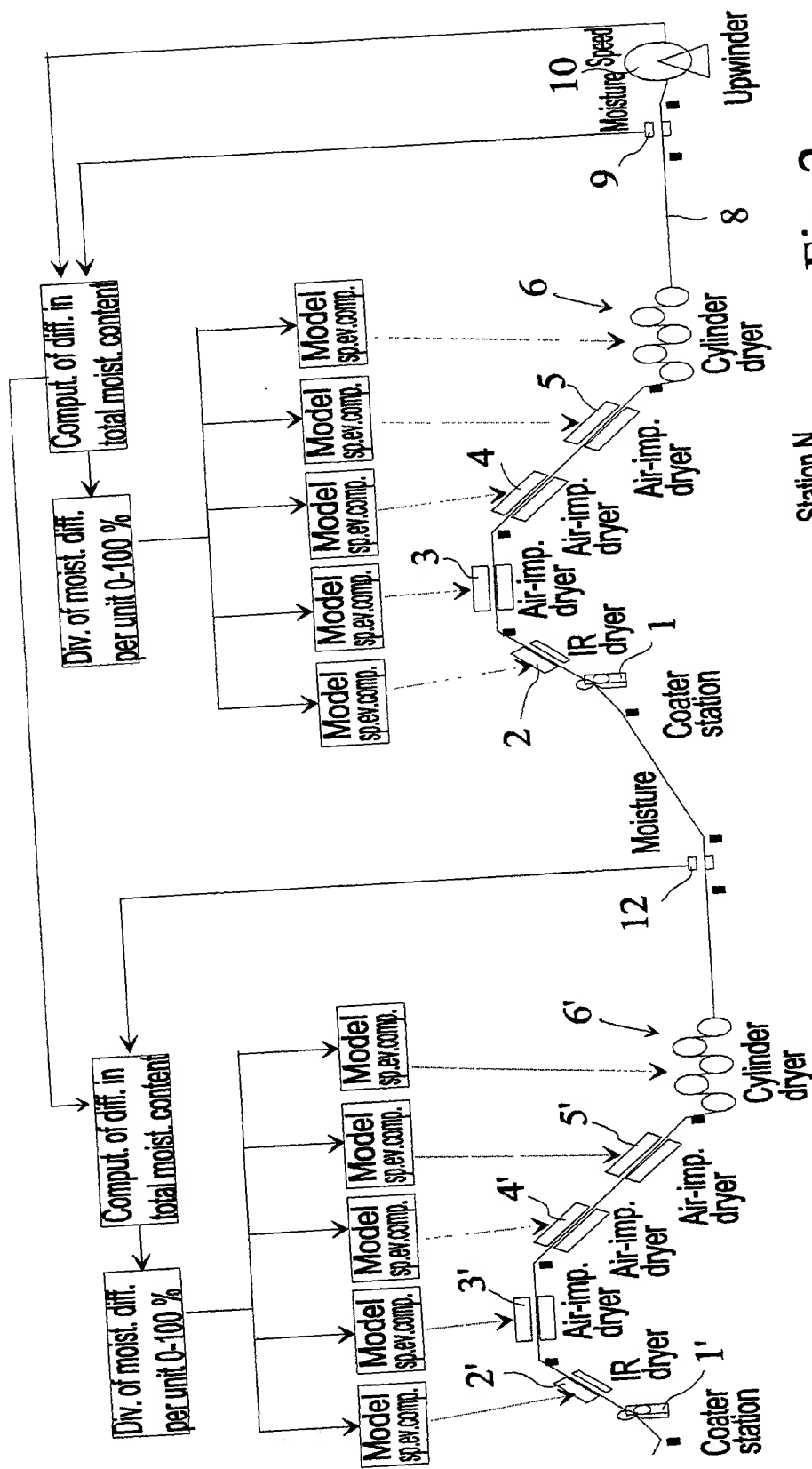


Fig. 2

Station N

Station N-1

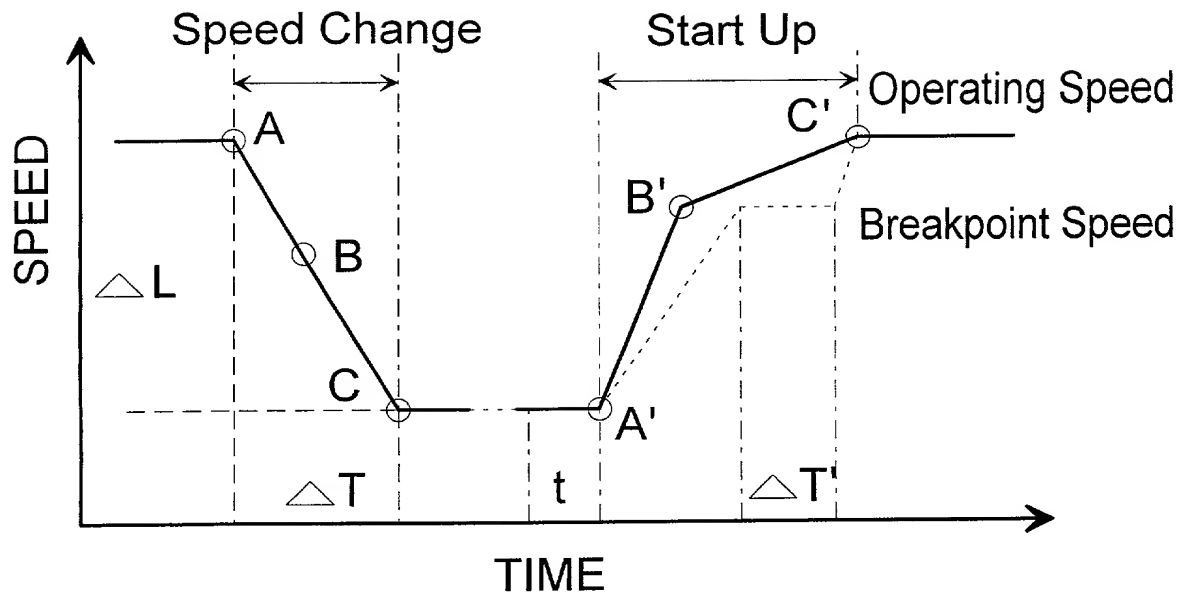


Fig. 3

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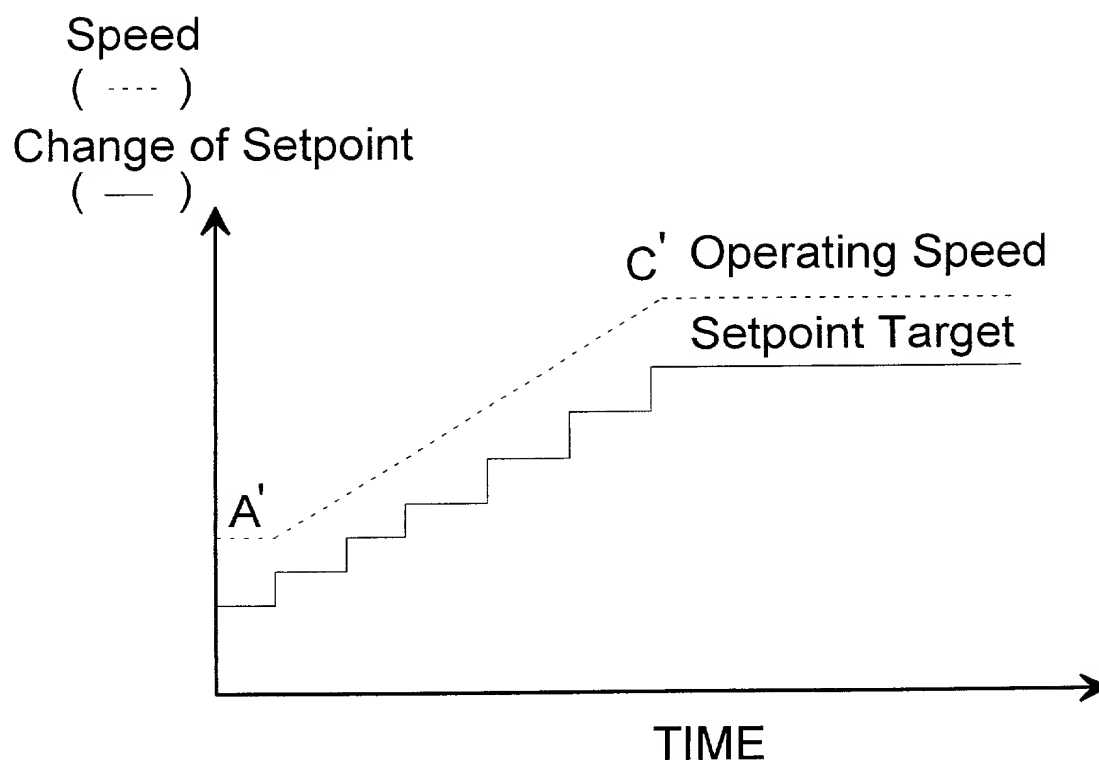
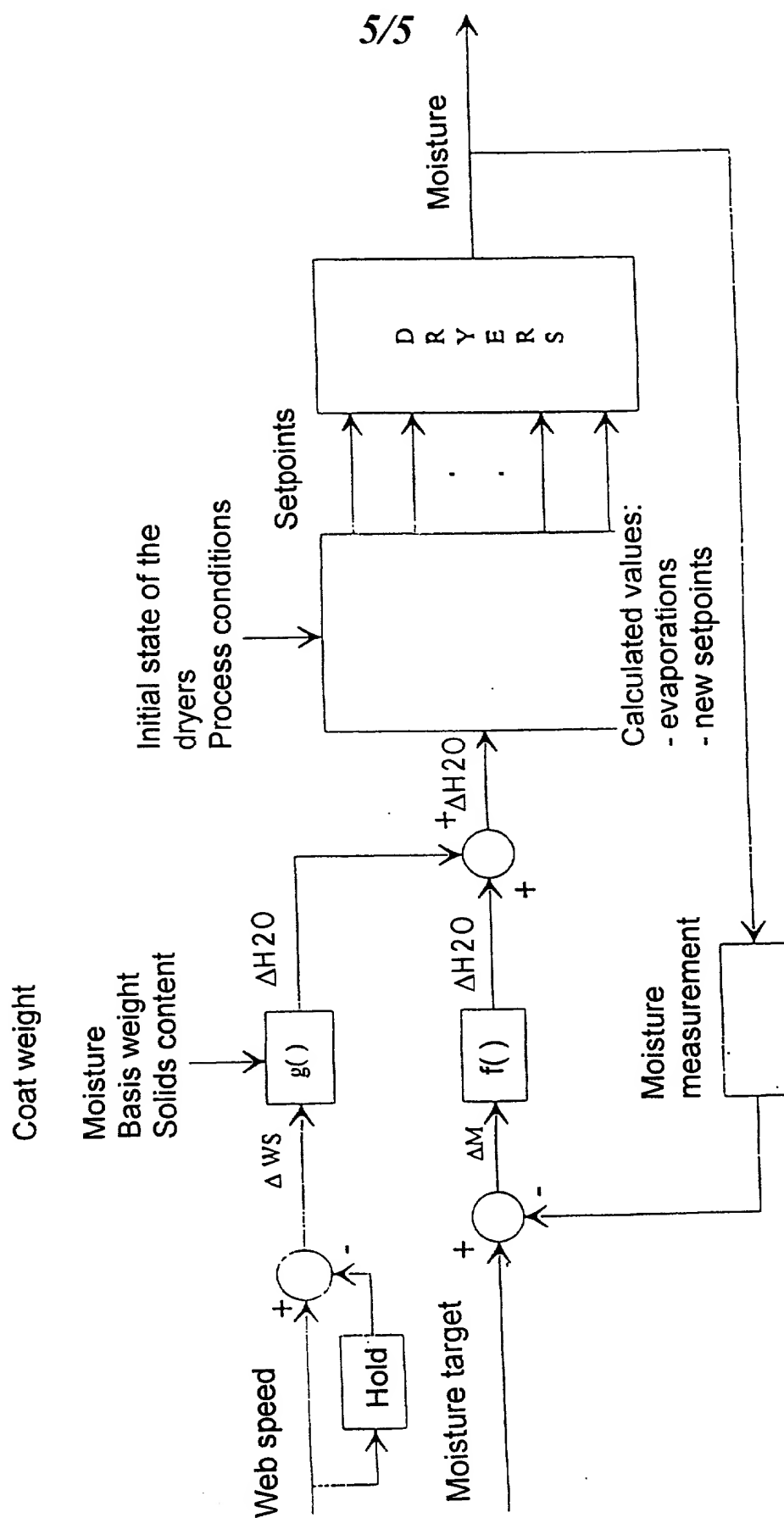


Fig. 4



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Attorney's Docket No.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method for controlling the moisture of a web in machine  
direction on a coating machine

the specification of which (check only one item below)

☐ is attached hereto

☐ was filed as United States application

Serial No. \_\_\_\_\_

on \_\_\_\_\_

and was amended

on \_\_\_\_\_ (if applicable).

☒ was filed as PCT international application

Number PCT/FI00/00166

on March 2, 2000

and was amended under PCT Article 19

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I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the patentability of the application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed.

**PRIOR FOREIGN/PCT APPLICATIONS AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. 119:**

| Country<br>(if PCT, indicate "PCT") | Application<br>Number | Date of Filing<br>(day, month, year) | Priority Claimed<br>Under 35 U.S.C. 119 |                             |
|-------------------------------------|-----------------------|--------------------------------------|---|-----------------------------|
| Finland                             | 990474                | 4 March 1999                         | <input checked="" type="checkbox"/> YES | <input type="checkbox"/> NO |
| PCT                                 | PCT/FI00/00166        | 2 March 2000                         | <input checked="" type="checkbox"/> YES | <input type="checkbox"/> NO |
|                                     |                       |                                      | <input type="checkbox"/> YES            | <input type="checkbox"/> NO |
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|                                     |                       |                                      | <input type="checkbox"/> YES            | <input type="checkbox"/> NO |

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**(Continued)**

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| PCT APPLICATIONS DESIGNATING THE U.S. |                  |                                       |                    |         |           |
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**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (*List name and registration number*)

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|  | POST OFFICE ADDRESS     | POST OFFICE ADDRESS       | CITY                     | STATE & ZIP CODE/COUNTRY  |
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| DATE September 18, 2001  |                         | DATE September 18, 2001   |                          | DATE September 18, 2001   |
| SIGNATURE OF INVENTOR 204  |                         | SIGNATURE OF INVENTOR 205 |                          | SIGNATURE OF INVENTOR 206 |
| DATE   |                         | DATE                      |                          | DATE                      |
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| DATE   |                         | DATE                      |                          | DATE                      |
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| DATE   |                         | DATE                      |                          | DATE                      |